

Sarna Finance LLC Fee Schedule

Product	Fee	Details
Sarna Finance Standard Subscription	\$0	Per month
Sarna Finance Premium Subscription	\$10	Per month
US Listed Stocks & ETFs		
Standard Subscription	\$0	Zero commission stock trading
Premium Subscription	\$0`	Zero commission stock trading
US Listed Stock & ETF Options		
Standard Subscription	\$0.10	Per contract commission
Premium Subscription	\$0	Zero commission option trading
Margin Debit Balance		Subject to change
Standard Subscription	11.25% 11.00% 10.75% 10.50%	\$0 - \$10,000 \$10,000 - \$25,000 \$25,000 - \$100,000 \$100,000 or greater
Premium Subscription	8.75% 8.50% 8.25% 8.00%	\$0 - \$10,000 \$10,000 - \$25,000 \$25,000 - \$100,000 \$100,000 or greater
American Depositary Receipts		
Standard Subscription	Pass through rate	Some ADRs subject to custody fees. Fee information for a specific ADR may be obtained from that ADR's custodial bank. Note: No conversions allowed.
Premium Subscription	Pass through rate	Some ADRs subject to custody fees. Fee information for a specific ADR may be obtained from that ADR's custodial bank. No conversions allowed.
Funding and Transfers		
Returned Check, Wire & Recall/Stop Payments	\$25	Additional wire transfer reversal fees charged by any originating, intermediary, or recipient bank may apply. Processing and service fees assessed by third parties may be passed through to the customer's account.
ACH Return Fee	\$25	Fee charged for ACH returned
Outgoing ACH Transfer	\$0	Per transfer
Outgoing Domestic Wire Transfer	\$25	Per transfer
Outgoing International Wire Transfer	\$30	Per transfer
Domestic Overnight Check Delivery	\$20	Per package
Incoming Account Transfers	\$0	Per transfer
Outgoing Account Transfers	\$95	Per transfer

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Regulatory Trading Fees		
ORF and TAF fees	Pass through rate	Options Regulatory Fees and Trading Activity Fees are charged by FINRA to fund regulatory oversight of brokerage transactions. These fees are passed through based on the fees charged for each potential transaction.
Account Services		
Electronic Statements	\$0	Per statement
Paper Statements	\$2	Per statement
Electronic Confirmations	\$0	Per confirmation
Paper Confirmations	\$2	Per confirmation
Paper Documentation	\$5	Per set of documentation
Domestic Overnight Mail	\$25	Per package
International Overnight Mail	\$50	Per package
Physical Certificate	\$550 minimum	Per certificate
Reorganization Items	\$20	Per reorganization
Legal Security Transfer	\$50	Per transfer
Foreign Securities	\$25 plus pass through charges.	Per transfer
Limited Partnership Fees	\$50	Annual fee
Lost certificate	\$20 plus pass through charges.	Per transaction
IRA annual fee	\$35	Annual fee
Letter if Authorization	\$25	Per transaction
Worthless Securities Processing	TBD	To be determined
Account Fees		
Account opening fees	\$0	
Account Maintenance fees	\$0	
Exercise and Assignments	\$10	Per assignment
Regulation T Extensions	\$10	Per extension
Inactive Account Fees		
Standard	\$5	Charged when the account is inactive for 6 months.
Premium	\$0	
Real-time Quotes (Non-Professional)		
Standard	\$2	Charged when quotes are used and there is no trading activity for 6 months.
Premium	\$0	